PTO/SB/08a (01-10) Approved for use through 07/31/2012. OMB 0651-0031

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

TIGUON DISCIOSURE Statement (IDS) FIRED U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 10595841 Filing Date 2004-11-12 First Named Inventor Warren T. Johnson Art Unit 1778 Examiner Name D.R. Anderson Attorney Docket Number 2003P87067WOUS

					U.S.I	PATENTS			Remove	
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D)ate	Name of Pate of cited Docu	entee or Applicant ment	Relev	es,Columns,Lines where vant Passages or Releves es Appear	
	1									
If you wis	h to ad	d additional U.S. Pate	nt citatio	n inform	ation pl	ease click the	Add button.		Add	
			U.S.P	ATENT	APPLI	CATION PUBI	LICATIONS		Remove	
Examiner Initial*	Cite N	Publication Number	Kind Code ¹	Publica Date	ition	Name of Pate of cited Docu	entee or Applicant ment	Relev	es,Columns,Lines where vant Passages or Relev es Appear	
	1									
If you wis	h to ad	d additional U.S. Publ	ished Ap	plication	citation	n information p	please click the Add	d butto	on. Add	
				FOREIG	GN PAT	ENT DOCUM	ENTS		Remove	
Examiner Initial*		Foreign Document Number ³	Country Code ²		Kind Code ⁴	Publication Date	Name of Patented Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T 5
	1	62262710	JP		А	1987-11-14	Toshiba Corp		Abstract only	X
	2	63097634	JP		А	1988-04-28	Toray Industries		Abstract only	×
	3	63099246	JP		А	1988-04-30	Japan Gore Tex Inc		Abstract only	X

Application Number		10595841		
Filing Date		2004-11-12		
First Named Inventor	Warre	en T. Johnson		
Art Unit		1778		
Examiner Name	D.R.	Anderson		
Attorney Docket Numb	er	2003P87067WOUS		

4	63143905	JP	A	1988-06-16	Toshiba Corp	Abstract only	×
5	63171607	JP	А	1988-07-15	Sumitomo Electric Industries	Abstract only	×
6	63180254	JP	A	1988-07-25	Matsushita Electric Ind Co Ltd	Abstract only	×
7	64-075542	JP	A	1989-03-22	Terumo Corp	Abstract only	×
8	S63-38884	JP		1988-10-13	Asahi Chemical Industry Co. Ltd.	Full translation	×
9	2002-0090967	KR		2002-12-05	Jinwoo Environ. R&D Co.; Korea Inst Science Techno	Abstract only	×
10	2003-033812	KR		2003-05-01	Zeotech Co. Ltd.	Abstract only	×
11	2003-060625	KR		2003-07-16	Genix Engineering Inc.	Abstract only	×
12	2005-063478	KR		2005-06-28	Res. Inst. Ind. Science & Tech.		
13	1020491C	NL		2003-10-28	Norit Membraan Technologie B.V.	Abstract only	×
14	1021197C	NL		2003-10-28	Norit Membraan Technologie B.V.	Abstract only	×

Application Number		10595841		
Filing Date		2004-11-12		
First Named Inventor	Warre	en T. Johnson		
Art Unit		1778		
Examiner Name D.R.		Anderson		
Attorney Docket Numb	er	2003P87067WOUS		

15	347343	TW		1998-12-11	Morimura Kosan KK	Abstract only	×
16	0108790	WO	A1	2001-02-08	Zenon Environmental Inc et al.		
17	0230550	wo	A1	2002-04-18	Us Filter Wastewater Group Inc et al.		
18	03053552	wo	A1	2003-07-03	Vossenkaul Klaus et al.	Abstract only	×
19	03057632	wo	A1	2003-07-17	Berliner Wasser Betr E et al.		
20	03059495	wo	A1	2003-07-24	Hydranautics		
21	03068374	WO	A1	2003-08-21	Us Filter Wastewater Group Inc et al.		
22	04024304	wo	A2	2004-03-25	Pall Corp et al.		
23	200030742	wo	A1	2000-06-02	Zenon Environmental Inc et al.		
24	200100307	wo	A2	2001-01-04	Millenniumpore Ltd et al.		
25	200105715	wo	A1	2001-01-25	Zenon Environmental Inc et al.		

Application Number		10595841		
Filing Date		2004-11-12		
First Named Inventor	Warre	en T. Johnson		
Art Unit		1778		
Examiner Name	D.R.	Anderson		
Attorney Docket Numb	er	2003P87067WOUS		

26	200119414	wo	A1	2001-03-22	Metrex Res Corp		
27	200132299	wo	A1	2001-05-10	Stork Friesland Bv et al.		
28	200136075	wo	A1	2001-05-25	Zenon Environmental Inc et al.		
29	200145829	wo	A1	2001-06-28	Zenon Environmental Inc et al.		
30	2002040140	wo	A1	2002-05-23	Aquasource et al.	Abstract only	X
31	2002047800	wo	A1	2002-06-20	Ondeo Services et al.		
32	200226363	wo	A2	2002-04-04	Va Tech Wabag Gmbh et al.	Abstract only	X
33	2003000389	wo	A2	2003-01-03	Petro Sep Internat Ltd et al.		
34	2003095078	wo	A1	2003-11-20	Tno et al.		
35	2004050221	wo	A1	2004-06-17	Us Filter Wastewater Group Inc et al.		
36	2004056458	wo	А3	2004-07-08	Hydranautics		

Application Number		10595841		
Filing Date		2004-11-12		
First Named Inventor	Warre	en T. Johnson		
Art Unit		1778		
Examiner Name	D.R.	Anderson		
Attorney Docket Numb	er	2003P87067WOUS		

					_		
37	2004078327	wo	A1	2004-09-16	Aquasource et al.	Abstract only	×
38	2004101120	WO	A1	2004-11-25	Noh Soo-hong et al.		
39	2005005028	wo	A1	2005-01-20	Akzo Nobel Nv et al.		
40	2005021140	wo	A1	2005-03-10	Us Filter Wastewater Group Inc et al.		
41	2005028085	wo	A1	2005-03-31	Us Filter Wastewater Group Inc et al.		
42	2005028086	wo	A1	2005-03-31	Us Filter Wastewater Group Inc et al.		
43	2005037414	wo	A1	2005-04-28	Krishnamurthi, Rao C H		
44	2005046849	wo	A1	2005-05-26	Us Filter Wastewater Group Inc et al.		
45	2005077499	wo	A1	2005-08-25	Us Filter Wastewater Group Inc et al.		
46	2005082498	wo	A1	2005-09-09	Zenon Environmental Inc et al.		
47	2005107929	wo	A2	2005-11-17	Us Filter Wastewater Group Inc et al.		

Application Number		10595841			
Filing Date		2004-11-12			
First Named Inventor	Warre	en T. Johnson			
Art Unit		1778			
Examiner Name	D.R.	Anderson			
Attorney Docket Numb	er	2003P87067WOUS			

	48	20060	026814	WO	A1	2006-03-16	Us Filter Wastewater Group Inc et al.		
	49	20060	029456	WO	A1	2006-03-23	Us Filter Wastewater Group Inc et al.		
	50	20060	029465	WO		2006-03-23	U.S. Filter Wastewater Group, Inc.		
If you wisl	h to ac	d ado	litional Foreign Pa	atent Document	citation	information pl	ease click the Add button	Add	
				NON-PATEN	IT LITE	RATURE DO	CUMENTS	Remove	
Examiner Initials*	Cite No	(bool		nal, serial, symp	osium,	catalog, etc), c	the article (when appropr late, pages(s), volume-is	7.	T5
	1								
If you wisl	h to ac	ld add	litional non-paten	t literature docur	nent cit	ation informati	on please click the Add b	outton Add	
				EX	AMINE	R SIGNATURI	E		
Examiner	Signa	ture					Date Considered		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									
Standard ST ⁴ Kind of doo	See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. I Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.								

(Not for submission under 37 CFR 1.99)

Application Number		10595841			
Filing Date		2004-11-12			
First Named Inventor	Warre	en T. Johnson			
Art Unit		1778			
Examiner Name	D.R.	Anderson			
Attorney Docket Numb	er	2003P87067WOUS			

CERTIFICATION STATEMENT					
Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):					
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).				
OR					
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filling of the information disclosure statement. See 37 CFR 1.97(e)(2).				
	See attached certification statement.				
	The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.				
A certification statement is not submitted herewith.					
SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.					
Signature		/Sandra Szela Congdon/	Date (YYYY-MM-DD)	2011-09-16	
Name/Print		Sandra Szela Congdon	Registration Number	60655	
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed					

application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria**,

VA 22313-1450.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.